Facial Expression Recognition Based on Facial Motion

Leila Farmohammadi¹, Mohammad Baqer Menhaj²

Patterns

¹Department of Computer and Information Technology Engineerin, Science and Research Branch, Islamic Azad University, Qazvin, Iran ² One of the members of Scientific Affaires at Amirkabir University Technology, Computer and Electrical Engineering Branch, Tehran, Iran email: L_farmohammadi@yahoo.com¹, menhaj@aut.ac.ir²

Abstract

Facial expression is one of the most powerful and direct mediums embedded in human beings to communicate with other individuals' feelings and abilities. In recent years, many surveys have been carried on facial expression analysis. With developments in machine vision and artificial intelligence, facial expression recognition is considered a key technique of the developments in computer interaction of mankind and is applied in the natural interaction between human and computer, machine vision and psycho- medical therapy. In this paper, we have developed a new method to recognize facial expressions based on discovering differences of facial expressions, and consequently appointed a unique pattern to each single expression by analyzing the image by means of a neighboring window on it, this recognition system is locally estimated. The features are extracted as binary local features; and according to changes in points of windows, facial points get a directional motion per each facial expression. Using pointy motion of all facial expressions and stablishing a ranking system, we delete additional motion points that decrease and increase, respectively, the ranking size and strenghth. Classification is provided according to the nearest neighbor. In the conclusion of the paper, the results obtained from the experiments on tatal data of Cohn-Kanade demonstrate that our proposed algorithm, compared to previous methods (hierarchical algorithm combined with several features and morphological methods as well as geometrical algorithms), has a better performance and higher reliability.

Keywords: facial expressions, local binary features, nearest neighbor, pattern matching

1. Introduction

Recently, according to the interaction between human and computer and existing intelligent systems, this human-computer interaction is deemed as one of the important issues in human daily life. Gesture recognition is a process in which man- made gestures are recognized by a computer. Gestures include meaningful motions of body such as physical movements, fingers, hands, arms, head or the body.

Facial expressions is among the most powerful and direct. According to the broad application of this field, automatic recognition of facial expressions has attracted more attention in recent years. Despite the major developments in the field, facial expression recognition with high accuracy is really difficult, because of the complication and changability of facial expressions. Facial expression recognition may be a bit complicated due to the human age, race or sex, or whether the face is covered with cosmetics or other covering stuff such as glasses and hair. This paper focuses on the recognition in different media.

Generally, there are two ways for facial expression recognition: methods based on the model, and those based on the appearance. Their difference lies in the way to describe the information about the expression. Model-based methods describe expressions according to the anatomy of the face and shape of body organs.AAM is one of the favorite and common model-based metods, while Cohn and Kanade mostly support combinations of AUs for facial expressions. In this paper, we detect facial expressions by considering pictures' appearance and determining the differences between various expressions. This facial expression recognition is carried out according to human detection, i.e. by appointing and destingishing the most difference in facial changes with other expressions. The tests on total C-K data introduced the validity of the proposed algorithm.

177

The paper is organized as follows: In Part I, the previous works are described. the proposed algorithm is introduced in Part II. In Part III, the proposed method is assessed with previous methods. In fourth part the conclusion and in fifth part future work are presented [1]. A review of previous works. We generally intend to classify seven main categories of facial expressions: anger, disgust, fear, happiness, neutral, sadness, surprise.

In hiearchical algorithm with several features, the basis and framework of the algorithm is that studying previous works led to the understanding that the accuracy and precision of both happiness and surprise are always reported in total result, and also prototypes of other five categories are blended in all dimensions. In such circumstances, they first distinguish two "easy" categories from the rest of the cases, and then with less interference, pay more attention to other five cases. doing this has increased detection percentage [2].

Another study of neuro-fuzzy classification network is carried on the extraction of binary local numbers, in which it detects expressions by deviding various parts of the face into five sections. The results has a low standard deviation in detection, but the high complexity makes this method less ideal [3].

2. Proposed Method





Figure 1. Flow chart of proposed method

2.1. Choosing the face

First, we use the following algorith to locate the faces and cut out the face in the picture. The picture is normalized by rotation and leveling, and we cut the face from forehead to chin, and also from one ear to the other ear. Then, LBP is extracted for the face and is located in ageneral matrix for one picture that is presented in Figure 2 [4].

Normalizing pictures:

- 1. Rotate the images so that they are aligned with the the eyes by vertical rotation.
- 2. scale the face image so that the distance between the eyes is the same for all face images (scaling the image is equalizing pixel of all face components)
- 3. cut (face) to remove the background and the hair
- 4. Apply equalization histogram on face to normalize photometric. After processing, the image size is 150 × 200. Figure 1 shows the normalized procedure.



Figure 2. The normalization processing (images from left to right: original image, normalized one, and rotated normalized one, normalized image after applying equalization histogram) [5]

2.2. Local binary pattern

LBP operator tags the pixels of an image by 3x3 neighborhood thresholding in each single pixel, and results in a binary figure. LBP feature has been frequently used in SEX classification [6].

In equations 4 and 5, f_c is the value of pixel center and f_p is the central pixel neighborhood. The LBP value in central pixel is obtained from equation 5. The symbol $LBP_{P,R}^u$ is used invariably for LBP operator [6], in which the use of LBP operator in a neighborhood of P points is sampled on the circle of radius R.



Figure 3. Use of LBP operator in neighborhood (8.1)

Figure 3 shows the LBP operator for neighborhood. And Figure 4 shows LBP results for R=1 and P=8.



Figure 4. Applying LBP operator for pictures with parameters R=1 and P=8

The use of these features aimed to the strength of feature extraction in facial expression detection which and been recently the most popular and had gained the higher detection percentage [5].

2.3. Formation of a motion pattern for the face

To choose one motion in order to get informed of the changes in one part of the image, we divide the human face into 3*3 neighbor frames and convert the main parts of the face including eyes, mouth, nose and forehead, formed in section 2-1, into figure 5. [7]-[10]



Figure 5. how to partition the face

In Figure 5, face movement will be seen clearly with respect to the positioning of the human face in concordance with the formed partitions. Figure 6 shows how these partitions are embedded in the face.



Figure 6. Locating templates on face

Applying changes in human face, we start descovering changes in motion video of the face in general. With the aid of these changes, we can form a motion pattern in all pixels, which is shown in Figure 7.



Figure 7. The sequence of faces in happiness

According to the above sequence, the figure obtained from human face motion is presented in Figure 8. These changes lead ut to extract a motion pattern from the happiness expression.



Figure 8. Changed pixels in happiness

2.4. Remove motion add-ons

According to extraction of rules for each facial expression motion, we extract several rules for the face which overlap between all motions, and hence, remove other motions. This is done as follows:

- 1. choose one facial expression in order (sadness, happiness, .., etc) (we have 7 facial expressions)
- 2. Compare motion patterns of these facial expressions based on the motion angle. This means that we consider those motions in one angle together (in trial and error, the best rate for obtained angles, is 10 degree different).
- 3. The motions located in the face, but undertaken no change, will be removed.
- 4. Remained motion patterns will be organized normally, that is we organize angles of one direction equally, this will remove extra complexity [11].

2.5. Classifying the images:

To make a reasonable classification according to the structure of proposed algorithm, we choose the classification of neighbor nearest which has the best result in the existing classifications (neural networks, support vector machine, etc.). According to tests performed using the nearest neighbor with k = 1, the result obtained is revealved in Figure 9. Interestingly, the papers [12]-[13] have also obtained the same result for the value of K, according to the extraction of features in the face images.



Figure 9. Determining best rate for K- neighbor nearest

3. Evaluating Proposed Method

To evaluate proposed method, we made use of Cohn-Kanade data set, which contains 123 persons with 7 different state of consequent images of one single facial expression. According to the Table 1, we have compared the strength of proposed method with previous methods.

Sixty percent of Comparisons are devoted to tain images and 40 percent to test data. Choosing test and train data is done on the basis of cross-validation, which avoids hunger and enclosure in classes, and the accuracy rate of recognition will be more precise. In compared papers, the ratio of test and train data is obtained similarly.

Table 1. Comparing proposed method with other methods

Existing methods	Detection percentage			
Hiearchical algorithm with several features (binary local- Gabor)	93 percent			
Neuro-fuzzy algorithm with partitioning	81.5 percent			
Proposed method	96.6 percent			

Table 2 Compares proposed method with other methods in all considered facial expressions.

Compared method	Anger	Disgust	Fear	Happiness	Neutral	Sadness	Surprise	Average
Method	98.3	97.8	97.5	99.1	97.2	97.8	98.3	98
Hierarchical method (7)	91	91	95	95	95	94	93	93
Nero Fuzzy algorithm by	75	77	82	85	82	81	79	М
Method based on sample (11)	75	78	81	86	81	81	79	81

Table 2. Comparing existing methods

Table 3 shows confusion matrix for proposed method.

Face mode	Anger	Disgust	Fear	Happiness	Neutral	Sadness	Surprise	
Anger	%98/3	%1.7	%0	%0	%0	%0	%0	
Disgust	%1.2	%97.8	%0	%0	%0	%0	%0	
Fear	%1.1	%1.4	%97.5	%0	%0	%0	%0	
Happiness	%0	%0	%0	%99.1	%0.9	%0	%0	
Neutral	%0	%1.2	%0	%0	%97.2	%1.6	%0	
Sadness	%0	%1.0	%0.7	%0	%0	%98.3	%0	
Surprise	%0	%1	%0	%1	%0	%0	%98	

Table 3. Confusion matrix for proposed method

Figure 10 presents distribution diagram of data set; as you can see, detecting some feelings are severely tough, but applying changes in seperation of datas in Figure 11 shows that data seperation has been done more clearly.





Figure 10. Picture of Cohn-Kande data set without applying changes



Figure 11. The picture of Cohn-Kande data set with feature extraction

4. Conclusion

In this paper, we introduced a new method based on the detection of changes in the face by partitioning facial forms. The proposed method extracts feature by using binary local features, and then place the points changed in this classification in a matrix, after matching change formats of the face with human face. The proposed method summarizes extracted matrix, and maintains the most facial changes for classification. Results show that proposed method has better accuracy in facial expression recognition, compared to previous methods.

Future works

Since facial changes in humans is located in certain parts of the face, we will be able to detect the amount of changes in each facial expression by forming movable sections in these parts. And for well removing of add-ons in this human motion matrix, we would better use an efficient fuzzy system, which removes considerable amount of additional motions and avoids negative effect in classifying facial expressions.

References

- [1] M. Glodek, S. Tschechne, G. Layher, M. Schels, T. Brosch, S. Scherer, M. K"achele, M. Schmidt, H. Neu-mann, G. Palm, F. Schwenker. *Multiple classifiersystems for the classification of audio-visual emotionalstates*. In Proc. Affective Computing and Intelligent In-teraction Workshop on AVEC. 2011.
- [2] G. McKeown. Chatting with a virtual agent: The se-maine project character spike. Website. February 2011.
- [3] V. Ojansivu, J. Heikkil. *Blur insensitive texture classification using local phase quantization.* In Proc. Imageand Signal Processing. 2008.
- [4] B. Schuller, M. Valstar, F. Eyben, G. McKeown, R. Cowie, M. Pantic. *The first inter-national audio/visual emotion challenge*. In Proc. Affec-tive Computing and Intelligent Interaction Workshop on AVEC. 2011.
- [5] Patrick Lucey, et al. The Extended Cohn-Kanade Dataset (CK+): A complete dataset for action unit and emotionspecified. 2012
- [6] C. Shan, S. Gong, PW. McEwan. Facial expression recognition based on Local. 2010; 2(2).
- [7] V. Gomati, K. Amara. Santhiyaku Jeevakumar. A Neuro Fuzzy approach for Facial Expression Recognition using LBP Histograms. *International Journal of Computer Theory and Engineering*. 2010; 2(2).
- [8] Keith Anderson. A Real-Time Automated System for the Recognition of Human Facial Expressions. *IEEE Transactions on Systems, Man, and Cybernetics—Part B: Cybernetics.* 2006; 36(1).
- [9] NS. Vu, A. Caplier. Enhanced patterns of orientededge magnitudes for face recognition and image matching. *IEEE Transactions on Image Processing*. 2012; 21(3):1352–1365.
- [10] Jun Ou. Automatic Facial Expression RecognitionUsing Gabor Filter and Expression Analysis. Second International Conference on Computer Modeling and Simulation. 2010.
- [11] Lu Jiang, Huazhong Shu, Pinzheng Zhang. The comparison of Hu moments and Zernike moments in expression recognition applications. *The transaction of Luoyang University.* 2004; 19(2):14-17.
- [12] Quan-You Zhao. Facial expression recognition based on fusion of Gabor and LBP features. Wavelet Analysis and Pattern Recognition. 2008.
- [13] NS. Vu, HM. Dee, A. Caplier. Face recognitionusing the poem descriptor. Pattern Recognition. 2012; 45(7): 2478–2488.