

Analysis and Measurement of Scientific Collaboration Networks Performance

Imam Much Ibnu Subroto¹, Sam Farisa Chaerul Haviana², Wiwiek Fatmawati³

^{1,3}Department of Informatics, Universitas Islam Sultan Agung, Semarang

²Department of Industrial Engineering, Universitas Islam Sultan Agung, Indonesia

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ABSTRACT

Collaboration of scientific research among researchers or universities is very important specially to improve the quality and quantity of the research. It gives the positive impact and very beneficial for both parties so this scientific research network becomes an important component in measuring performance and ranking institutions for national and international levels. The interviews result show that the amount of collaboration alone cannot fair to be used as performance measurement, because in general the successful collaboration can be established to other institutions with higher ranks, which will give a good quality impact to the institution. The purpose of this paper is to propose a new performance measurement formula using case studies in Indonesia. Scientific collaboration networks are generated based on data from lecturers and institutions, especially research outputs indexed in the SINTA (Science and Technological Index). SINTA is the largest and most comprehensive portal for measuring research performance in Indonesia. The formula proposed in this research is to consider the number and weighting based on the score of the institution in the previous year to calculate a new score of the performance of the scientific collaboration network. Implementation results show that Riau Province has the highest collaboration score at the regional level, while the Bandung Institute of Technology as an institution with a score of 48,656 is the highest at the institutional level.

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Corresponding Author:

Imam Much Ibnu Subroto,
Department of Informatics,
Universitas Islam Sultan Agung,
Jalan Kaligawe Km 4 Semarang, Central Java, Indonesia
Email: imam@unissula.ac.id

1. INTRODUCTION

Higher education is a place where knowledge grows due to learning activities and research activities which are of a higher level than scientific activities in previous schools. Each university has lecturers and research resources that are important in the development of science that has an impact on the progress of a nation. The resources and fields of specialization of tertiary institutions are different, even some universities have their own uniqueness which is different from other institutions. Previous research conducted by Aldieri et.al. [1] showed that the connection between universities with different relevance to collaborate with each other will improve the quality of research for both parties. Therefore, collaboration can be one of the supporting parameters for measuring the quality of higher education.

Referring to the positive impact of collaboration, the question will arise how to measure the collaboration performance of a university. Whether the number of publications alone is sufficient as a benchmark and whether every collaboration with a university will have the same impact on research when compared to collaborations with other campuses. If the impact cannot be considered the same, it requires a formula that can accommodate the problem so that the measurement can be fairer. This research proposes a new collaboration measurement standard that gives different weight to each collaboration. Collaboration with

higher level institutions will have a greater impact than collaboration with lower level institutions. This study measures the performance of all tertiary institutions in Indonesia, which number around 4634 universities as a case study and the implementation of this collaboration measurement. Each university can collaborate with many other universities to form a network so that further this research uses the term Scientific Collaboration Networks Performance.

Previous research has been conducted by Aldieri [1] to analyze and investigate the performance of research collaborations conducted by researchers and institutions in Italy. Cooperation map based on regional performance is analyzed with representative. The results show that international cooperation has a greater impact on institutions. Several researchers conducted a collaborative bibliometric analysis such as that conducted by Nagpaul [2] for the case of co-publication among the top 45 countries in terms of publication activities; Paier and Scherngell [3] for the case of collaborative research between organizations in European countries in the European Framework Program for Research and Technology Development (EU-FPs); Kuld [4] for thematic closeness analysis on citations for a sample of the 1000 most cited economists.

Research collaborations have also been discussed by several researchers to support the development of university research capacity such as Stein et al.[5]; Graue et al.[6]; Kodama et al.[7]; Riahi et al.[8]; Sweileh et al. [9]). Research collaborations are needed to increase the productivity of general research in universities [see for example: Beaudry and Allaoui [10]; Lissoni et al.[11]; Zucker and Darby[12]; Elhorst and Zigova [13]; Chakravarty and Madaan[14]). Scientific collaboration with developed countries helps universities from less developed countries to improve their research capacity and performance (see here Obamba and Mwema [15]; Chinchilla-Rodríguez et al.[16].

2. RESEARCH METHOD

This research attempted to involve all universities in Indonesia, totaling 4634 universities. The SINTA Science and Technology Index has recorded research and publication activities carried out by each research study at universities in Indonesia. The study used all data held by the SINTA database to measure the performance of higher education research networks throughout Indonesia.

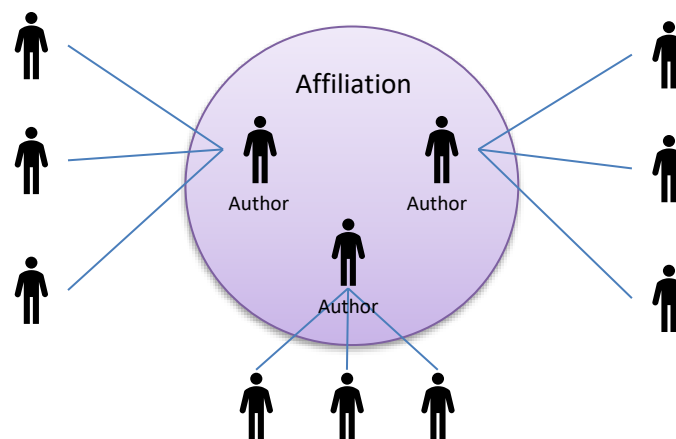


Fig 1 Author Collaboration Network of an Affiliation

The development of a collaboration network begins with collecting research collaboration networks carried out by researchers who have collaborated with other institutions. Research collaboration can be seen from the collaboration of publications in reputable journals or proceedings which in this study use co-authorship of publications indexed on Scopus. This collection of collaboration between individual authors then forms a collaborative network between higher education institutions. The characteristics of this collaboration network will be different for each institution so it is interesting to analyze and measure the collaboration index at each institution.

2.1. Data Collection

The main data source comes from the SINTA database, which is the largest author and affiliation indexation system in Indonesia built by the government, namely the Research and Technology and Higher Education Commission in 2017. In SINTA, there is university profile data and college performance scores based on published research outputs [17]. Performance measures are calculated with a 3-year window and overalls.

2.2. Model of Collaboration Network Performance

This research has conducted a study on how to measure collaboration performance, especially at the institutional level. By using publication data in Scopus and institutional performance matrices in SINTA, the proposed parameters to measure collaboration performance in this study are:

- a. Number of publication collaborations between institutions
 Publication collaboration means a scientific work in a reputable international publication that is co-authored by several researchers with different affiliations. In this model, only reputable international publications (indexed by Scopus) are included because of quality considerations.
- b. Number of collaborating institutions
 Collaboration with only one institution will certainly have different values when compared to collaboration with many institutions. Collaboration with many institutions will have more value than collaboration with few institutions
- c. Collaborating institution weight
 Collaborating with other institutions with better performance will be more profitable because it will improve the quality of publications. Institutional weights are given for each institution based on the Sinta Score in the previous period. This weight will be the multiplier of each publication co-authored between the two institutions. In this study, tertiary institutions were divided into 4 clusters based on the SINTA score in the last 3 years as shown in Table 1.

Table 1. The weight of research collaboration between universities

Weight	Sinta Score 3 year
1	>= 5000
0,75	>= 2500
0,5	>= 800
0,25	< 800

The following is the collaboration performance measurement formula used:

$$Network_score = \sum_{i=1}^n W_i \cdot Freq_i \tag{1}$$

Where *Network_score* = *Score Collaboration Network*, *i* is the *i*-th institution, *W_i* is the weight of institute *I* and *Freq_i* is the number of publications resulting from collaboration with the *i*-W institution calculated based on the sinta score for the last 3 years as shown in Table 1.






Logo	Affiliation	3 Year Score	All Year Score	All Year Score v2 ↑	All Year Score v2 Productivity	3 Year Score v2	3 Year Score v2 Productivity
	Universitas Indonesia UI Code : 001002 ID https://ui.ac.id	6,678 <small>Since 2017</small>	93,486 <small>Overall Score</small>	955,699 <small>Overall Score</small>	391 <small>Devided Total Lecturer</small>	279,361 <small>Since 2017</small>	114 <small>Devided Total Lecturer</small>
	Institut Teknologi Bandung ITB Code : 002001 ID http://itb.ac.id	4,719 <small>Since 2017</small>	64,684 <small>Overall Score</small>	899,133 <small>Overall Score</small>	620 <small>Devided Total Lecturer</small>	187,823 <small>Since 2017</small>	129 <small>Devided Total Lecturer</small>
	Universitas Gadjah Mada UGM Code : 001001 ID https://ugm.ac.id	4,977 <small>Since 2017</small>	64,606 <small>Overall Score</small>	808,541 <small>Overall Score</small>	280 <small>Devided Total Lecturer</small>	216,722 <small>Since 2017</small>	75 <small>Devided Total Lecturer</small>
	Institut Pertanian Bogor IPB Code : 002003 ID http://ipb.ac.id	2,968 <small>Since 2017</small>	60,704 <small>Overall Score</small>	518,455 <small>Overall Score</small>	378 <small>Devided Total Lecturer</small>	117,823 <small>Since 2017</small>	86 <small>Devided Total Lecturer</small>
	Lembaga Ilmu Pengetahuan Indonesia LIPI Code : 0 ID http://lipi.go.id	1,361 <small>Since 2017</small>	38,640 <small>Overall Score</small>	364,181 <small>Overall Score</small>	0 <small>Devided Total Lecturer</small>	40,322 <small>Since 2017</small>	0 <small>Devided Total Lecturer</small>

Figure 2. SINTA Score for Affiliations (source: sinta.ristekbrin.go.id)

Figure 2 is the list of affiliates indexed by SINTA, complete with the calculation of the affiliate score. Affiliation score is a composite calculation from various sources, especially the number of publications, quartile scopus, number of citations, indexation of journals whose formula we can see in the writings of Lukman et.al [17]. Furthermore, this score is used as the basis for cluster formation for the calculation of the higher education collaboration index.

3. RESULTS AND DISCUSSION

Each publication of an author's research results can be visualized to form a collaborative network as shown in Figure 3. For the purpose of calculating the collaboration index, what is next is calculated is collaboration with external universities and does not include collaborations with other authors from internal universities.

Author collaboration networks are a very important and basic part of forming a collaborative network map between institutions. Every author's cooperation with other affiliates will be calculated as part of the cooperation with other affiliates. The affiliate cluster that is used as a collaboration will be the multiplier weight for each collaboration.



Fig 3. Author Collaboration Network

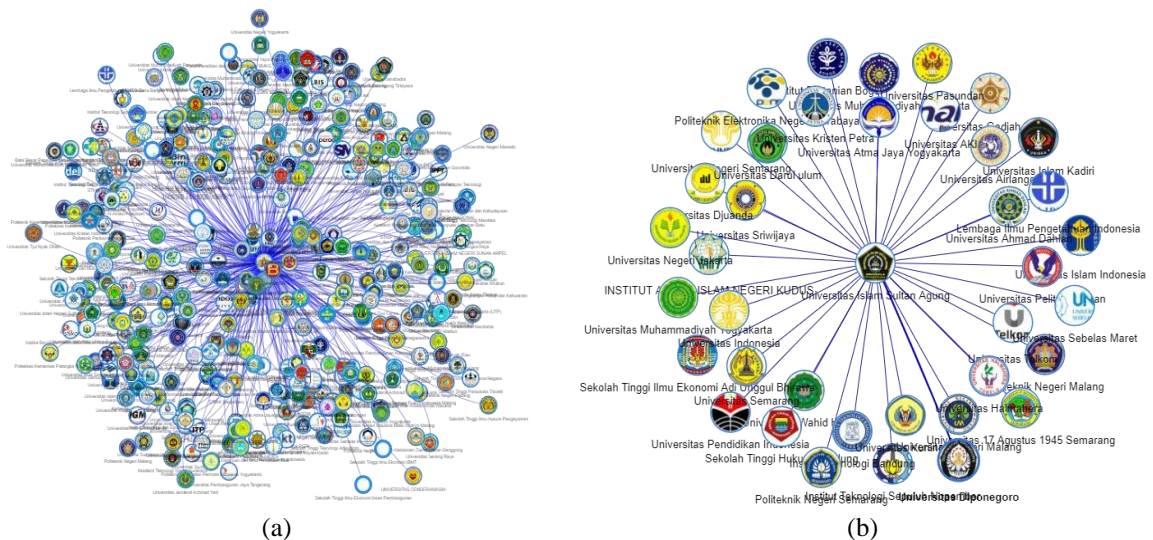


Fig 4. Affiliation Collaboration Network (a) Universitas Gadjah Mada (b) Universitas Islam Sultan Agung

3.1 Number of Collaboration

The more research collaborations, the greater the impact of collaboration between institutions. Figure 4 (a) is an example of visualization of a collaboration network from UGM with a number of other universities based on collaborations conducted by authors from UGM, while Figure 4 (b) shows similar network for Universitas Islam Sultan Agung (UNISSULA). Each line between affiliates can represent more than one collaboration, so the number of collaborations can be higher from the image shown. Comparison of the two network images shows that UGM has a better score than UNISSULA because the number of collaborations is much larger.



Fig. 5 Collaboration Map of Universitas Indonesia

Figure 5 shows a sample map of collaboration carried out by a higher education institution, Universitas Indonesia, which is represented by connections with 15 other university partners with the highest number of collaborations. This map makes it easy to analyze patterns of higher education cooperation by region.

3.2 Frequency Analysis

Research collaborations between higher education institutions can be analyzed based on cooperation maps and their frequency. Figure 6 is another way of visualizing research collaboration between universities when compared to Figure 5. This figure shows the frequency of collaboration carried out with the same university based on the size and size of the bubbles.

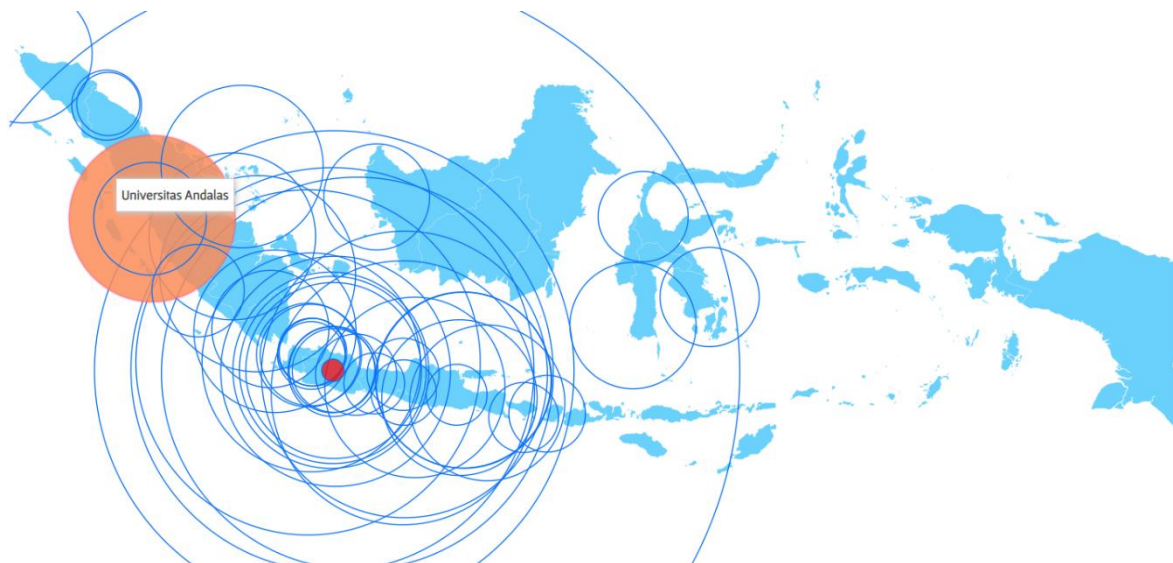


Fig. 6 Frequency Analysis of Research Collaboration by Institut Teknologi Bandung (ITB)

Figure 7 is a detailed explanation of the frequency of collaboration carried out by ITB as an example of the case from Figure 6 where Andalas University is one of the large ITB collaboration partners. The bubble size of Telkom University is the biggest collaboration partner of ITB. Geographically, Telkom University is a city with ITB, namely the city of Bandung. The figure shows that the pattern of ITB collaboration partners is mostly at nearby universities, especially West Java and Jakarta, apart from other areas on the island of Java and Sumatra and Sulawesi.

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Logo	Affiliation	Collaborations
	Universitas Telkom Tel-U Code : 041057 ID Authors	361 Number of Publications from Collaboration
	Universitas Padjadjaran UNPAD Code : 001007 ID Authors	213 Number of Publications from Collaboration
	Universitas Pendidikan Indonesia UPI Code : 001034 ID Authors	179 Number of Publications from Collaboration
	Lembaga Ilmu Pengetahuan Indonesia LIPI Code : 0 ID Authors	174 Number of Publications from Collaboration
	Universitas Indonesia UI Code : 001002 ID Authors	153 Number of Publications from Collaboration
	Universitas Diponegoro UNDIP Code : 001008 ID Authors	127 Number of Publications from Collaboration
	Universitas Gadjah Mada UGM Code : 001001 ID Authors	117 Number of Publications from Collaboration

Fig. 7 List of Collaboration frequency with other institution

The frequency that occurs describes how often the collaboration is realized between universities and does not yet describe the quality of the collaboration. For the sake of fairness in measuring the cooperation score, it is necessary to weight each collaboration carried out based on the partner cluster.

Table 2. Collaboration Network Score of Institution

Institution	Collaboration Score
Universitas Indonesia	35,738
Institut Teknologi Bandung	48,656
Universitas Gadjah Mada	37,038
Institute Pertanian Bogor	20,950
Universitas Airlangga	13,931

The results of the calculation of the score for research collaboration activities from the top 5 universities in SINTA can be seen in Table 2. Compared to other universities with low SINTA rankings, the average college with a high score also has a high collaboration score as well. This shows that research collaboration also improves the quality and productivity of higher education. However, higher education ranking based on SINTA score and based on collaboration score presents a difference, University of Indonesia which is ranked number 1 in SINTA has a smaller collaboration score than ITB which is number 2 in SINTA. When this paper was written, the highest collaboration score was carried by ITB with a total score of 48,656.

3.3 Overall Indonesia Analysis of Collaboration Network

Based on the research collaboration performance carried out by every university in Indonesia, it turns out that it has an uneven score. Figure 8 shows a map of the strength of collaboration for each region in Indonesia by province. On average, the island of Java conducts the most research collaborations but it turns out that Riau Province has the highest average research collaboration index in Indonesia.

Average Collaboration Score by Province



Figure 8. Research Collaboration Map of Universities based on Region

4. CONCLUSION

This research has proposed a research collaboration performance measurement formula based on reputable scientific publications. The formula proposed in this study is to consider the number and weighting based on the score of the institution in the previous year to calculate the new score for the performance of the scientific cooperation network. The results of the implementation show that Riau Province has the highest score of collaboration at the regional level, while the Bandung Institute of Technology has the highest score of 48.656 at the institutional level.

The results of the analysis of higher education scores based on SINTA show that the average SINTA score is high also has a high collaboration score as well. This shows that research collaboration also improves the quality and productivity of higher education. However, higher education rankings based on the SINTA score and based on the collaboration score do not always have the same ranking.

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